

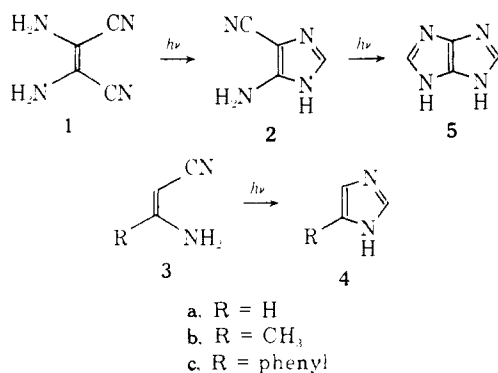
# Photochemistry of Ortho-Substituted Benzene Derivatives and Related Heterocycles<sup>1</sup>

J. P. Ferris\* and F. R. Antonucci

Contribution from the Department of Chemistry, Rensselaer Polytechnic Institute, Troy, New York 12181. Received July 5, 1973

**Abstract:** The scope of the photochemical cyclization of aromatic enamionitriles and related systems has been investigated. The following photochemical transformations have been observed:  $\beta$ -aminocinnamionitrile to 4(5)-phenylimidazole (**4c**); 4-aminoimidazole-5-carbonitrile to 1,6-dihydroimidazo[4,5-*d*]imidazole (**5**); anthranilonitrile to indazole (**7**); *N*-methylantranilonitrile to 1-methylindazole (**10**); *N*-phenylantranilonitrile to carbazole (**12**); 2-cyanophenol to benzoxazole (**15**); 2-aminophenylacetylene to indole (**17**) and 2-aminoacetophenone (**18**); 2-hydroxyphenylacetylene to benzofuran (**20**) and 2-hydroxyacetophenone (**21**); salicylaldoxime to benzoxazole (**15**) and 2-cyanophenol (**13**); and 2-hydroxyacetophenone oxime to 2-methylbenzoxazole (**27**) and 3-methylindoxazene (**28**).

Imidazoles may be prepared by the photochemical cyclization of enamionitriles.<sup>2</sup> This photochemical transformation was first observed when the irradiation of diaminomaleonitrile (**1**) yielded 4-aminoimidazole-5-carbonitrile (**2**), a key step in a proposal for the chemical evolution of purines.<sup>3</sup> Aminoacrylonitrile (**3a**),  $\beta$ -aminocrotononitrile (**3b**), and  $\beta$ -aminocinnamionitrile (**3c**) are readily photolyzed to imidazole (**4a**),<sup>2c</sup> 4(5)-methylimidazole (**4b**),<sup>2a</sup> and 4(5)-phenyl-



imidazole (**4c**), respectively. These data established that simple enamionitriles such as **3** rearrange photochemically to imidazoles. We now report the results of an investigation of the photochemical rearrangement of enamionitriles and related structures where the chromophore is part of an aromatic ring system.<sup>4,5</sup> Mechanistic studies are reported in an accompanying paper.<sup>6</sup>

## Results and Discussion

### Photolysis of 4-aminoimidazole-5-carbonitrile (**2**) at

(1) Chemical Evolution. XVI. For the previous papers in this series, see J. P. Ferris and T. J. Ryan, *J. Org. Chem.*, **38**, 3302 (1973); J. P. Ferris, T. J. Ryan, J. J. Wos, A. P. Lobo, and D. B. Donner, *J. Origins Life*, in press.

(2) (a) J. P. Ferris and L. E. Orgel, *J. Amer. Chem. Soc.*, **88**, 1074 (1966); (b) J. P. Ferris and J. E. Kuder, *ibid.*, **92**, 2527 (1970); (c) J. P. Ferris, R. A. Sanchez, and L. E. Orgel, *J. Mol. Biol.*, **33**, 693 (1968).

(3) R. A. Sanchez, J. P. Ferris, and L. E. Orgel, *J. Mol. Biol.*, **30**, 223 (1967); R. A. Sanchez, J. P. Ferris, and L. E. Orgel, *ibid.*, **38**, 121 (1968).

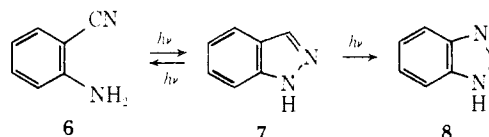
(4) A portion of this work has been reported in preliminary form: J. P. Ferris and F. R. Antonucci, *Chem. Commun.*, 126 (1972).

(5) This work is reported in greater detail in the Ph.D. dissertation of F. R. Antonucci, Rensselaer Polytechnic Institute, 1972.

(6) J. P. Ferris and F. R. Antonucci, *J. Amer. Chem. Soc.*, **96**, 2014 (1974).

254 nm gave a 20% yield of 1,6-dihydroimidazo[4,5-*d*]imidazole (**5**), a result of particular interest in the area of chemical evolution in view of the central role of **2** in the proposed prebiotic synthesis of purines.<sup>3,7</sup> The structure of **5** was proved by the absence of a nitrile absorption band in the 2200-cm<sup>-1</sup> region of the infrared and the presence of only one signal at  $\delta$  7.72 in the nmr spectrum which did not exchange with D<sub>2</sub>O. The resonance position of the structurally comparable proton at the 2 position of benzimidazole occurs at  $\delta$  8.30.<sup>8</sup> Furthermore, the mass spectrum of **5** is similar to the mass spectrum of **2** and is consistent with the stepwise loss of HCN from the molecular ion.

The irradiation of anthranilonitrile (**6**) resulted in the formation of indazole (**7**) and a 20% yield of benzimidazole (**8**). Analysis of the reaction mixture after



short irradiation times revealed that indazole is the initially formed product which is converted to benzimidazole on further irradiation.<sup>9</sup> This is the first example of pyrazole formation on irradiation of an enamionitrile. Pyrazoles are not formed as intermediates in the photochemical rearrangement of aliphatic enamionitriles.<sup>2b</sup>

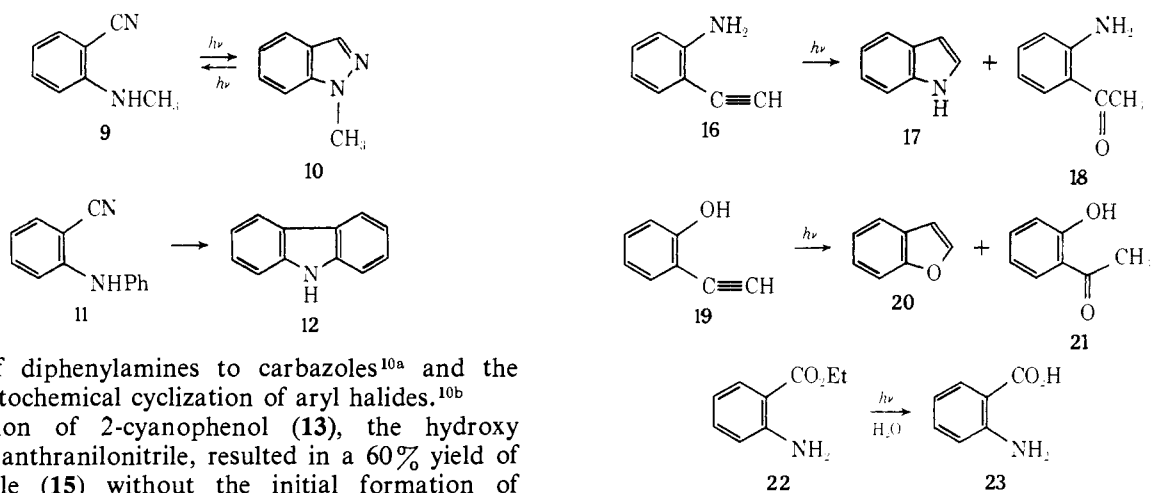
Only 1-methylindazole (**10**) (17%) is obtained on irradiation of *N*-methylantranilonitrile (**9**). No *N*-methylbenzimidazole could be detected, a result which is in agreement with the observation that photolysis of **10** yields only **9** and no *N*-methylbenzimidazole.<sup>9</sup>

A completely different reaction pathway was observed upon photolysis of *N*-phenylantranilonitrile (**11**). Carbazole (**12**), formed by cyclization of the *N*-phenyl grouping, was obtained in 87% yield. This reaction is related to the oxidative photochemical con-

(7) The chemical synthesis of the substituted imidazo[4,5-*d*]imidazole ring system has recently been reported: J. E. Oliver and R. T. Brown, *J. Heterocycl. Chem.*, **9**, 1429 (1972).

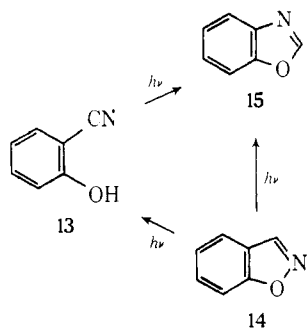
(8) Sadtler Standard Spectra, Sadtler Research Laboratories, Philadelphia, Pa., 60 MHz spectrum 4270M.

(9) H. Tiefenthaler, W. Dörscheln, H. Göth, and H. Schmid, *Helv. Chim. Acta*, **50**, 2244 (1967).



version of diphenylamines to carbazoles<sup>10a</sup> and the direct photochemical cyclization of aryl halides.<sup>10b</sup>

Irradiation of 2-cyanophenol (**13**), the hydroxy analog of anthranilonitrile, resulted in a 60% yield of benzoxazole (**15**) without the initial formation of



indoxazene (**14**).<sup>11</sup> When this photochemical transformation is carried out with low conversion under conditions where the 2-cyanophenol is absorbing over 95% of the light, **15** was the only detectable product. Furthermore, **13** does not sensitize the conversion of **14** to **15**.

The photochemical cyclization was still observed when the cyano group of anthranilonitrile was replaced by an acetylene grouping (2-aminophenylacetylene (**16**)) or when both the cyano and amino groups are replaced with acetylene and hydroxyl groups (2-hydroxyphenylacetylene (**19**)). Indole (**17**) is obtained in 1–2% yield upon irradiation of **16** in cyclohexane solution. A 20% yield of 2-aminoacetophenone (**18**) was obtained when the photolysis was performed in aqueous solution. Benzofuran (**20**) (60%) and 2-hydroxyacetophenone (**21**) are obtained on irradiation of **19** in 10<sup>-2</sup> M aqueous base. The photochemical hydration of the acetylene groupings in **16** and **19** may be due to participation of ortho amino<sup>12</sup> and hydroxyl<sup>13</sup> groupings. Anthranilic acid (**23**) is the main product formed in the photolysis of ethyl anthranilate (**22**) in aqueous solution.<sup>5</sup>

Photolysis of aromatic *o*-hydroxy oximes constituted a further investigation of the scope of the photocyclization. The photolysis of salicylaldehyde oxime (**24**) has been reported to yield benzoxazole (**15**) in polar solvents and indoxazene (**14**) in hydrocarbon solvents.<sup>14</sup> We

(10) (a) K. H. Grellman, G. M. Sherman, and H. Linschitz, *J. Amer. Chem. Soc.*, **85**, 1881 (1963); (b) W. A. Henderson and A. Zweig, *Tetrahedron Lett.*, 625 (1969); P. W. Jeffs and J. F. Hansen, *J. Amer. Chem. Soc.*, **89**, 2798 (1967).

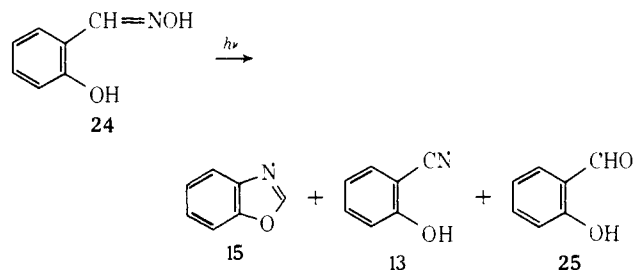
(11) The photochemical conversion of **14** into **13** and **15** had been reported previously: H. Göth and H. Schmid, *Chimia*, **20**, 148 (1966).

(12) T. D. Roberts, H. Schechter, and L. Ardmegni, *J. Amer. Chem. Soc.*, **91**, 6185 (1969); L. Munchausen, I. Ookuni, and T. D. Roberts, *Tetrahedron Lett.*, 1917 (1971).

(13) G. Fräter and H. Schmid, *Helv. Chim. Acta*, **50**, 255 (1967).

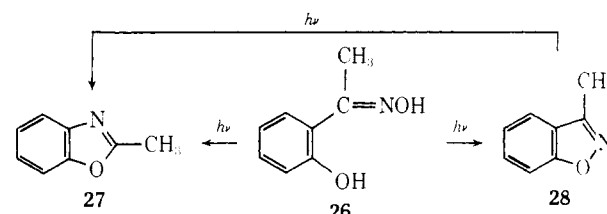
(14) K. Grellman and E. Tauer, *Tetrahedron Lett.*, 1909 (1967).

did observe a very facile cyclization of **24** to **15** (75% yield) in aqueous solution. Benzoxazole was also the main product (72%) in aqueous base together with a 15% yield of 2-cyanophenol (**13**)<sup>15</sup> and a trace of salicylaldehyde (**25**).<sup>16</sup> No indoxazene (**14**) was ob-



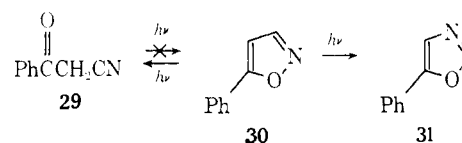
served on irradiation of **24** in hexane solution; instead compounds **15**, **13**, and **25** were observed.

A trace of the corresponding indoxazene (**28**) was observed on irradiation of 2-hydroxyacetophenone oxime (**26**). However, the main product was 2-methylbenzoxazole (**27**). The ratio of compound **28** to com-



compound **27** was greater in the beginning of the reaction than at the end, suggesting that some of the initially formed **28** is photolyzed to **27**. However, it was not possible to adjust the reaction conditions (initial concentration of **26** or the time of irradiation) where **27** was not observed as well. Therefore, we conclude that both **27** and **28** are formed directly on excitation of **26**.

Attempted extension of the cyclization reaction to the preparation of 5-phenyloxazole (**31**) from benzoyl-



(15) G. Just and C. Pace-Asciak, *Tetrahedron*, **22**, 1069 (1966); T. Sata and H. Obase, *Tetrahedron Lett.*, 1633 (1967).

(16) J. P. Ferris and F. R. Antonucci, *J. Amer. Chem. Soc.*, **94**, 8091 (1972).

acetonitrile (29) was unsuccessful. The reverse reaction, photolysis of 30 to 29 (32%) together with the formation of 31 (6%), was observed.

### Experimental Section<sup>5,17</sup>

**Materials.** 1,1-Diamino-2,2-dicyanoethylene,<sup>20</sup>  $\beta$ -aminocinnamionitrile,<sup>21</sup> *N*-methylanthranilonitrile,<sup>22</sup> 2-hydroxyphenylacetylene,<sup>23</sup> 2-aminophenylacetylene,<sup>24</sup> salicylaldehyde,<sup>25</sup> 2-hydroxyacetophenone oxime,<sup>26</sup> 5-phenyl-1,3-oxazole,<sup>26</sup> 5-phenylisoxazole,<sup>27</sup> indoxazene,<sup>28</sup> 3-methylindoxazene,<sup>29</sup> 4-aminoimidazole-5-carbonitrile,<sup>2a</sup> 4(5)-phenylimidazole,<sup>30</sup> benzoylacetone nitrile,<sup>31</sup> *N*-phenylanthranilonitrile,<sup>9</sup> and *N*-methylbenzimidazole<sup>32</sup> were prepared according to known procedures. The other compounds used in this investigation were obtained commercially and purified as necessary by recrystallization, distillation, or tlc.

**1- and 2-Methylindazole.** A procedure similar to that used to prepare *N*-methylbenzimidazole<sup>32</sup> was used to prepare 1- and 2-methylindazole. The reaction product was chromatographed over silica gel using chloroform-acetonitrile (5:1) as the eluent. The separation was followed by uv spectra and the fractions containing 1- and 2-methylindazole were combined separately and concentrated *in vacuo*; each crystallized on standing. 1-Methylindazole: mp 58–60° (lit.<sup>33</sup> 60–61°); uv max (EtOH) 255 (log  $\epsilon$  3.6), 263 (3.6), and 294 nm (3.7). 2-Methylindazole: mp 53–54° (lit.<sup>33</sup> 56°); uv max (EtOH) 273 (log  $\epsilon$  3.8) and 294 nm (3.8). The uv spectra of the two isomers were in accord with the spectra reported by Rousseau and Lindwall.<sup>34</sup>

**1,6-Dihydroimidazo[4,5-*d*]imidazole.** A 500-ml solution of  $1.04 \times 10^{-2}$  M 1,1-diamino-2,2-dicyanoethylene in tetrahydrofuran was irradiated at 254 nm for 35 hr. The tetrahydrofuran was distilled *in vacuo* and the residue was chromatographed over silica gel using ether-methanol (5:1) as the eluent. The fractions giving the

blue DSA test were combined and concentrated. The residue was chromatographed again over silica gel using chloroform-methanol (1:9) as the eluent. The fractions giving a blue DSA test were combined and concentrated giving 114 mg (20% yield) of 1,6-dihydroimidazo[4,5-*d*]imidazole as a tan solid. The sample was purified by recrystallization from ethanol: Folin test positive (purple-violet); mp 265° dec; uv max (MeOH) 210 (log  $\epsilon$  3.64) and 242 nm (3.79); ir (KBr) 3120, 2990, 2780, 1630, 1470, 1230, 952, and 800  $\text{cm}^{-1}$ ; nmr (DMSO-*d*<sub>6</sub>)  $\delta$  3.70 (broad, 2, NH, exchanged with D<sub>2</sub>O), 7.72 (s, 2, CH); mass spectrum (70 eV) *m/e* (rel intensity) 109 (6), 108 (100), 81 (16), 80 (3), 71 (4), 57 (2), 55 (4), 54 (16), 53 (5), 52 (2), 45 (3), 44 (4), 43 (5), 42 (2), 41 (4), 39 (4), 38 (4), 32 (5), 31 (12), 29 (6), 28 (13), 27 (5). It was not possible to obtain an analytically pure sample of 1,6-dihydroimidazo[4,5-*d*]imidazole as the free base. The ditosylate salt was prepared by dissolving 63 mg of the free base in 7 ml of warm acetonitrile-methanol (5:2) and removing the insolubles by filtration. To this solution was added a warm acetonitrile solution (3 ml) of *p*-toluenesulfonic acid (111 mg). The reaction mixture was warmed for 5 min and some solvent was removed by a stream of nitrogen until crystals appeared. The reaction mixture was refrigerated overnight and the crystals filtered and recrystallized from acetonitrile-methanol (10:1); mp 260° dec; ir (KBr) 3060, 2740, 1700, 1530, 1430, 1235, 1155, 1110, 1030, 1000, 900, 810, and 670  $\text{cm}^{-1}$ ; nmr (DMSO-*d*<sub>6</sub>)  $\delta$  2.30 (s, 3, CH), 7.0–7.6 (quartet, 4, aromatic H), 8.40 (s, 1, CH). *Anal.* Calcd for C<sub>18</sub>H<sub>20</sub>N<sub>4</sub>S<sub>2</sub>O<sub>7</sub>: C, 47.78; H, 4.46; N, 12.38. Found: C, 47.58; H, 4.40; N, 12.45.

The bicyclic imidazole 5 was also formed on direct photolysis of a  $10^{-4}$  M solution of 4-aminoimidazole-5-carbonitrile (2) in acetonitrile for 10 min. Imidazole 5 was detected by its *R<sub>f</sub>* value (BAW) and blue color when sprayed with DSA reagent.

**Irradiation of  $\beta$ -Aminocinnamionitrile.** (a) An acetonitrile solution of  $\beta$ -aminocinnamionitrile ( $1.17 \times 10^{-4}$  M) was degassed and irradiated at 254 nm for a total of 2.5 hr. The formation of 4(5)-phenylimidazole was monitored by uv spectra and isobestic points at 337, 275, 239, and 220 nm were observed. The product, 4(5)-phenylimidazole (60%), was isolated and identified by comparison of paper chromatography *R<sub>f</sub>* (0.76 in BAW, DSA spray reagent (red)) and uv spectrum with an authentic sample. (b) When a degassed solution of  $\beta$ -aminocinnamionitrile ( $10^{-4}$  M) in ethanol was irradiated at 350 nm for 5.5 hr, the only change observed was a shift in the uv max from 288 to 283 nm; this blue shift is probably due to cis-trans isomerization.<sup>25</sup> Only starting material was detected by paper chromatography (BAW) of the concentrated reaction solution.

**Irradiation of *N*-Phenylanthranilonitrile.** An ethanol-acetonitrile (1:4) solution of *N*-phenylanthranilonitrile ( $10^{-4}$  M) was degassed and irradiated at 254 nm for 10 min. The photolysis was followed by uv spectra and the uv absorption spectra of carbazole (uv max (EtOH) 294 (log  $\epsilon$  4.26) and 257 nm (4.32)) developed. The solution was concentrated and carbazole (87%) was identified by comparison of tlc (methylene chloride-hexane, 1:1) and uv and ir spectra with an authentic sample. Carbazole was also formed from the irradiation of 1-phenylindazole in which *N*-phenylanthranilonitrile<sup>9</sup> is the initial photoproduct.

**Irradiations of Salicylaldehyde.** (a) An aqueous solution of salicylaldehyde ( $3 \times 10^{-3}$  M in  $10^{-1}$  M NaOH) was irradiated through Pyrex at 350 nm for 105 min. An aliquot of the reaction solution was diluted and the uv spectrum showed benzoxazole and 2-cyanophenol absorptions. Benzoxazole, 2-cyanophenol, salicylaldehyde, 2-hydroxyformanilide, and salicylaldehyde were separated from the reaction mixture by preparative tlc (chloroform) and were identified by tlc and uv spectra comparison with authentic samples. (b) A hexane solution of salicylaldehyde ( $3.1 \times 10^{-4}$  M) was irradiated at 300 nm for 10 min. Benzoxazole, 2-cyanophenol, and salicylaldehyde were separated by preparative tlc and were identified by tlc and uv spectra comparison with authentic samples. (c) Irradiations of salicylaldehyde in both degassed and nondegassed water at 300 nm resulted in a 75% yield of benzoxazole as determined from its uv absorption. In aqueous base benzoxazole (75%) and 2-cyanophenol (15%) were detected as reaction products.

**Irradiations of 2-Hydroxyphenylacetylene.** (a) An aqueous solution of 2-hydroxyphenylacetylene ( $1.1 \times 10^{-2}$  M) in  $10^{-2}$  M NaOH was degassed and irradiated at 254 nm for 13 hr. The yellow solution was extracted with ether (100 ml) and the benzofuran (60%) was purified by preparative tlc (hexane). The purified sample was identified by tlc and uv spectra (uv max 242, 275, 282 nm and log  $\epsilon$  3.94, 3.25, and 3.25, respectively) comparison with an authentic sample. The basic solution (after ether extraction) was

(17) Irradiations were performed in a Rayonet photochemical reactor equipped with lamps with principal emission at 254, 300, or 350 nm. The following instruments were used for spectral measurements: Perkin-Elmer Infracord (ir), Unicam SP-800 or Beckman DK-2A (uv), Varian T-60 or A-60 (nmr), Hitachi RMU-6E (mass spectra). Solutions were degassed on a vacuum line using four freeze-pump-thaw cycles. Acetonitrile was purified by the procedure of O'Donnell, Ayres, and Mann.<sup>18</sup> Tetrahydrofuran was distilled from lithium aluminum hydride before use. Paper chromatography was carried out on Whatman 3MM paper and tlc was carried out on alumina G (Woelm) or silica gel (Mallinckrodt). Photoproducts were identified by direct chromatographic comparison with authentic samples. The product was further identified by the uv spectrum of the compound after elution from the chromatogram. Diazotized sulfanilic acid (DSA) was used to detect imidazoles,<sup>19a</sup> Folin's reagent was used to detect amines,<sup>19b</sup> iodoplatinate was used to detect heterocycles,<sup>19c</sup> and 2,4-dinitrophenylhydrazine (2,4-DNP) was used to detect aldehydes and ketones.<sup>19d</sup>

(18) J. F. O'Donnell, J. T. Ayres, and C. K. Mann, *Anal. Chem.*, **37**, 1161 (1965).

(19) I. M. Hais and K. Macek, "Paper Chromatography," Publishing House of Czechoslovakian Academy of Sciences, Prague, 1963: (a) p 786, (b) p 809, (c) p 800, (d) p 790.

(20) W. J. Middleton and V. A. Engelhardt, *J. Amer. Chem. Soc.*, **80**, 2788 (1958).

(21) This material was prepared by J. E. Kuder using the procedure of R. Holtzart, *J. Prakt. Chem.*, **39**, 230 (1889).

(22) E. C. Taylor and R. V. Ravindranthan, *J. Org. Chem.*, **27**, 2622 (1962).

(23) Y. Odaira, *Bull. Chem. Soc., Jap.*, **29**, 470 (1956).

(24) K. Schofield and J. C. E. Simpson, *J. Chem. Soc.*, 512 (1945); K. Schofield and T. Swain, *ibid.*, 2393 (1949).

(25) R. L. Shriner, R. C. Fuson, and D. Y. Curtin, "The Systematic Identification of Organic Compounds," 5th ed, Wiley, New York, N. Y., 1963, pp 289 and 320.

(26) N. P. Demchenkov and A. P. Grekov, *Zh. Obshch. Khim.*, **32**, 1219 (1962).

(27) R. B. Woodward, R. A. Olofson, and H. Mayer, *Tetrahedron, Suppl.*, No. 8, 321 (1966).

(28) D. S. Kemp and R. B. Woodward, *Tetrahedron*, **21**, 3019 (1965).

(29) G. Cassini, F. Gualtieri, and M. L. Stein, *J. Heterocycl. Chem.*, **2**, 385 (1965); **6**, 279 (1969).

(30) A. Pinner, *Chem. Ber.*, **35**, 4131 (1902).

(31) S. Aspart-Pascot and J. Lematie, *Bull. Soc. Chim. Fr.*, **2**, 483 (1971).

(32) A. F. Pozharskii and A. M. Siminov, *Zh. Obshch. Khim.*, **33**, 179 (1963).

(33) K. von Auwers and M. Duesberg, *Chem. Ber.*, **53B**, 1179 (1920).

(34) V. Rousseau and H. G. Lindwall, *J. Amer. Chem. Soc.*, **72**, 3047 (1950).

acidified and extracted with ether (100 ml) and the 2-hydroxyacetophenone was purified by preparative tlc (hexane–methylene chloride, 1:2). The 2-hydroxyacetophenone (20%) was identified by tlc (positive test with 2,4-dinitrophenylhydrazine) and uv spectral (uv max (base) 360 and 255 nm and  $\log \epsilon$  3.8 and 3.9, respectively; (acid) 253 and 324 nm and  $\log \epsilon$  4.1 and 3.6, respectively) comparison with an authentic sample. (b) Irradiations of 2-hydroxyphenylacetylene ( $10^{-4}$  M) in degassed water at 254 nm resulted in a 60–68% yield of benzofuran. (c) Benzofuran was also detected when 2-hydroxyphenylacetylene was irradiated in degassed acetonitrile using either a 254 or 300 nm light source.

**Thermal Stability of 2-Hydroxyphenylacetylene.** (a) When an aqueous solution of 2-hydroxyphenylacetylene ( $10^{-4}$  M) in  $10^{-2}$  M NaOH was refluxed for 2.5 hr some benzofuran absorption was noted by the uv absorption at 275 nm, but since the photochemical reaction occurs within minutes at 35° it is unlikely that the thermal formation of benzofuran is occurring during the course of the photolysis. (b) An aqueous acetonitrile solution of 2-hydroxyphenylacetylene ( $10^{-4}$  M) was refluxed for 2.5 hr with no change in its uv spectrum.

**Irradiation of 2-Aminophenylacetylene.** (a) A 100-ml solution of 2-aminophenylacetylene ( $7.2 \times 10^{-4}$  M) in cyclohexane was irradiated at 300 nm for 15 min. Indole was separated from the reaction solution by preparative tlc and was identified by tlc (2,4-DNP, red-brown; DSA, yellow) and uv spectral (uv max (EtOH) 218 ( $\log \epsilon$  4.44), 271 (3.79), and 287 nm (3.66)) comparison with an authentic sample. The total concentration of indole based on the 288-nm peak was  $6 \times 10^{-6}$  M which is equivalent to a 1–2% yield. (b) A 100-ml aqueous solution of 2-aminophenylacetylene ( $5 \times 10^{-4}$  M) was irradiated at 300 nm for 15 min. The cloudy solution was extracted with ether and the combined ethereal solutions dried over  $MgSO_4$  and concentrated and subjected to preparative tlc (methylene chloride). The product, 2-aminoacetophenone (20%), was extracted with ether, was separated by preparative tlc, and was identified by tlc (DNP, yellow-brown) and uv spectral (uv max (EtOH) 257 ( $\log \epsilon$  3.84) and 367 nm (3.71)) comparison with an authentic sample. A trace amount of indole was present as shown by its  $R_f$  value and uv spectrum.

**Irradiation of 5-Phenylisoxazole.** (a) A 250-ml solution of 5-phenylisoxazole ( $1.03 \times 10^{-2}$  M) in ether was irradiated at 254 nm for 40 min. The ether solution was washed with base and the ether was dried and concentrated to dryness and the residue was chromatographed on silica gel. Elution with  $CH_2Cl_2$  resulted in (1) 40 mg of starting material, (2) 19 mg of an unknown compound, uv max (EtOH) 251 nm, (3) 21 mg (6%) of 5-phenylisoxazole, respectively. The basic extract was acidified and extracted with ether to yield 106 mg (32%) of benzoylacetonitrile which was identified by ir, uv, and tlc comparison with an authentic sample. When the irradiation of 5-phenylisoxazole was performed at 254 nm in water, acetonitrile, or 1 N HCl, benzoylacetonitrile was the only product that could be identified.

**Irradiation of Benzoylacetonitrile.** A 100-ml solution of benzoylacetonitrile ( $2 \times 10^{-2}$  M) in ether was irradiated with a 254-nm source until no more starting material could be detected in the uv (1.5 hr). No 5-phenylisoxazole was detected as a reaction product. The ether was concentrated and white crystals precipitated. This unknown material was recrystallized from ethyl acetate–cyclohexane: mp 212–214° taken slowly and 220–221° when taken fast; ir (KBr) 3450, 2275, 1335, 1055, 735, and 698  $cm^{-1}$ ; nmr (DMSO- $d_6$ )  $\delta$  7.4 (s, aromatic H) 6.0, 2.2–3.8; mass spectrum (70 eV)  $m/e$  (rel intensity) 241 (5), 240 (32), 207 (6), 147 (21), 120 (6), 105 (29), 104 (55), 94 (79), 93 (16), 91 (57), 78 (27), 77 (62), 76 (11), 65 (19), 64 (21), 52 (11), 51 (100), 50 (20), 39 (24).

*Anal.* Found: C, 72.58; H, 5.44; N, 9.17.

**Irradiation of 2-Hydroxyacetophenone Oxime.** (a) A solution of 2-hydroxyacetophenone oxime ( $10^{-4}$  M) in 95% ethanol was irradiated at 300 nm for 5 min, and the photolysis was monitored by uv spectra. A peak at 395 nm developed as well as 2-methylbenzoxazole absorptions at 271 and 277 nm. (b) A 100-ml solution of 2-hydroxyacetophenone oxime ( $1.92 \times 10^{-4}$  M) in  $10^{-2}$  M NaOH was irradiated at 300 nm for 15 min. Preparative tlc (methylene chloride–cyclohexane, 3:1) resulted in the separation of 2-methylbenzoxazole (82%) and a trace amount of 3-methylindoxazene. Larger concentrations of 3-methylindoxazene were observed on shorter term irradiation. However, 2-methylbenzoxazole was always observed as well.

**Irradiations of *N*-Methylantranilonitrile.** (a) A 100-ml solution of *N*-methylantranilonitrile ( $2.42 \times 10^{-2}$  M) in acetonitrile was degassed and irradiated at 254 nm for 4 days. The acetonitrile was distilled *in vacuo* and the product subjected to column chromatog-

raphy over silica gel using methylene chloride as the eluent. *N*-Methylantranilonitrile (25%) and 1-methylindazole (17% based on recovered starting material) were separated and identified by comparison of  $R_f$  values and uv spectra with authentic samples. (b) The photolysis of *N*-methylantranilonitrile was carried out in degassed solutions of water, ethanol, acetonitrile, and cyclohexane at 254 nm, and 1-methylindazole was detected as a product by uv spectral measurements.

**Irradiations of 1-Methylindazole.** Aqueous and cyclohexane solutions of 1-methylindazole ( $10^{-4}$  M) were degassed and irradiated at 254 nm. The photolyses were monitored by uv spectra and the yields (56% and 64% respectively) of *N*-methylantranilonitrile were calculated from the maximum at *ca.* 254 nm.

**Irradiations of 2-Methylindazole.** Both aqueous and cyclohexane solutions of 2-methylindazole ( $10^{-4}$  M) were degassed and irradiated at 254 nm for 2–5 min. The formation of *N*-methylbenzimidazole was followed by uv spectra and essentially a quantitative yield was obtained.

**Irradiations of Indazole.** (a) The photolysis of indazole was carried out under a variety of conditions and the reactions were followed by uv spectra. The results are tabulated in Table I.

Table I. Irradiations of Indazole<sup>a</sup>

Solvent	Time, min	Comments <sup>b</sup>
Water*	170	BI (48%) absorption noted
$CH_3CN$ *	170	BI (49%) and A (18%) absorption noted
$C_6H_{12}$	10	A (40%) absorption noted
$C_6H_{12}$ *	20	A (56%) absorption noted

<sup>a</sup> \* means degassed; BI = benzimidazole; A = anthranilonitrile; solute concentrations were  $10^{-4}$  M; solutions were irradiated with 254-nm lamps. <sup>b</sup> Yields of benzimidazole and anthranilonitrile were based on the absorptions of the products when a maximum absorption was reached

(b) Acetonitrile solutions of indazole ( $10^{-4}$ ,  $10^{-3}$ , and  $10^{-2}$  M) were degassed and irradiated at 254 nm for 70 min. Tlc analysis (ethyl acetate–benzene, 1:1) demonstrated the presence of both anthranilonitrile and benzimidazole in these reactions. It was calculated from the uv spectra that anthranilonitrile was absorbing 29, 10, and 2% of the light at the end of the photolysis.

**Irradiations of Anthranilonitrile.** (a) A 100-ml acetonitrile solution of anthranilonitrile ( $3.4 \times 10^{-4}$  M) was degassed and irradiated at 254 nm for 5 hr. Benzimidazole and indazole were separated from the reaction mixture by preparative tlc (chloroform) and were identified by comparison of  $R_f$ 's, color reaction with iodoplatinate reagent (blue), and uv spectra with authentic samples. A 20% yield of benzimidazole was estimated from the uv spectrum of the sample eluted from the preparative tlc plate. (b) Acetonitrile solutions of anthranilonitrile ( $10^{-4}$ ,  $10^{-3}$ , and  $10^{-2}$  M) were degassed and irradiated at 254 nm for 7 hr. Tlc (ethyl acetate–benzene, 1:1) showed the presence of anthranilonitrile, benzimidazole, and indazole in the  $10^{-4}$  M run. However, only anthranilonitrile and indazole could be detected in the  $10^{-3}$  and  $10^{-2}$  M photolyses; no benzimidazole was found even after a very careful and thorough tlc analysis.

**Direct Irradiation of 2-Cyanophenol.** (a) Irradiation of 2-cyanophenol in degassed acetonitrile, diglyme, and hexane solutions resulted only in the decrease in 2-cyanophenol absorbance. Decreasing yields of benzoxazole were observed when the irradiations were performed in water, ethanol–water, and ethanol solutions, respectively; a 62% yield was obtained from a  $10^{-4}$  M aqueous solution using a 300 nm light source. (b) The possible direct formation of indoxazene which is subsequently converted to benzoxazole was investigated by irradiating 100 ml of a  $5.3 \times 10^{-4}$  M degassed, aqueous solution of 2-cyanophenol with 300-nm lamps for 12.5 hr. Weak benzoxazole absorption was noted on the side of the more intense 2-cyanophenol maximum in the uv. Preparative tlc (chloroform) of the ether extract revealed the presence of benzoxazole and the absence of indoxazene. Any indoxazene that was formed should have been photochemically stable since 2-cyanophenol was absorbing greater than 90% of the light at the end of the irradiation. From these data it is concluded that benzoxazole is formed directly on irradiation of 2-cyanophenol.

**Attempted Indoxazene Sensitization of the Conversion of 2-Cyanophenol to Benzoxazole.** An aqueous solution of  $9 \times 10^{-3}$  M cyano-

Table II. Irradiations of 3-Methylindoxazene<sup>a</sup>

Solvent	Time, min	Comments
H <sub>2</sub> O	20	MB (83%) absorption
EtOH	10	MB (94%) absorption
C <sub>6</sub> H <sub>12</sub> <sup>b</sup>	30	MB (40%) absorption
pH 4.6	10	Only MB absorption

<sup>a</sup> MB = 2-Methylbenzoxazole; solute concentrations were  $10^{-4}$  M; solutions were irradiated with 254-nm lamps. Yields based on the uv absorption of 2-methylbenzoxazole at 270 nm.  
<sup>b</sup> Solution irradiated with 300-nm lamps.

phenol (reaction a) and an aqueous solution of  $9 \times 10^{-3}$  M cyanophenol and  $2.7 \times 10^{-4}$  M indoxazene (reaction b) were degassed and irradiated with a 300-nm source for 20 min. Tlc analysis (methylene chloride) of the ether extract of reaction a revealed the presence of only benzoxazole (*R<sub>f</sub>*, DNP color, and uv spectrum). Tlc analysis of reaction b revealed the presence of a comparable

yield of benzoxazole along with salicylaldehyde and indoxazene. The indoxazene-sensitized formation of benzoxazole was not observed; the added indoxazene was absorbing 2–5% of the light. Furthermore, any indoxazene that was formed in reaction a should have been detected since a 3% yield could be detected when admixed with the 2-cyanophenol (reaction b).

**Irradiations of 3-Methylindoxazene.** (a) The photolysis of 3-methylindoxazene was carried out under a variety of conditions and the reactions were followed by uv spectra. The results are tabulated in Table II. (b) A 150-ml solution of 3-methylindoxazene ( $1.6 \times 10^{-3}$  M) in ethanol was irradiated at 300 nm for 45 min. The solvent was distilled *in vacuo* and the 2-methylbenzoxazole was isolated by preparative tlc (methylene chloride-cyclohexane, 3:1) and identified by *R<sub>f</sub>* values and uv spectrum.

**Acknowledgment.** We thank Dr. James Kuder for preparing some of the compounds used in this study. This work was supported by Grants GM 15915 CA 14511 and a Career Development Award GM 6380 (to J. P. F.) from the National Institutes of Health.

## Mechanisms of the Photochemical Rearrangements of Ortho-Substituted Benzene Derivatives and Related Heterocycles<sup>1</sup>

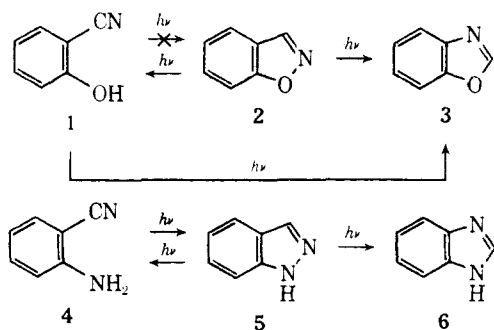
J. P. Ferris\* and F. R. Antonucci

Contribution from the Department of Chemistry, Rensselaer Polytechnic Institute, Troy, New York 12181. Received July 5, 1973

**Abstract:** The mechanisms of the photochemical rearrangements of isoxazoles, 2-cyanophenol, pyrazoles, and anthranilonitriles have been investigated using low-temperature techniques. Isonitrile **14** was detected by ir (2130  $\text{cm}^{-1}$ ) and uv in the photoconversion of indoxazene (**2**) to benzoxazole (**3**). Compound **14** was further identified by independent synthesis and hydrolysis to the formanilide **15** in acid. 2-Cyanophenol (**1**) is also formed on photolysis of **2**; however, no intermediate could be detected in this conversion. Quenching and sensitization studies suggest that the formation of **3** proceeds from the singlet and **1** from the triplet excited states. The solvent dependence of the reaction suggests that **3** is formed from an initial  $\pi, \pi^*$  excitation and **1** is formed from an  $n, \pi^*$  excitation. The photoconversion of **1** to **3** may also proceed by **14** as shown by the uv spectrum of the reaction mixture after irradiation at  $-77^\circ$ . No intermediates were identified in the photochemical conversion of indazoles to benzimidazoles and anthranilonitriles using the above techniques. Emission measurements of the singlet and triplet energies of all the compounds used in this study are reported.

In the accompanying paper we reported our observations on the scope of the photochemical rearrangement of ortho-substituted benzene derivatives.<sup>1</sup> Some of the findings are summarized in Scheme I. The

Scheme I



intermediates and excited states in the photocyclization of 2-cyanophenol (**1**) and anthranilonitrile (**4**) and the

(1) Chemical Evolution. XVII. For the previous paper in this series, see J. P. Ferris and F. R. Antonucci, *J. Amer. Chem. Soc.*, **96**, 2010 (1974).

photoisomerization of indoxazene (**2**) and indazole (**5**) are discussed in this report.<sup>2,3</sup>

Azirines have been isolated as intermediates in the photochemical conversion of 3,5-diarylisoxazoles (**7**) to oxazoles (**9**).<sup>4</sup> Sensitization studies suggest that **7** and **8** photoequilibrate by the triplet excited state while **9** is formed from **8** via the singlet state. It has been suggested that the high energy ground states **10** and **11** may be intermediates in these transformations.<sup>4,5</sup> Azirines have been detected or postulated as intermediates in the photorearrangement of a number of other isoxazoles.<sup>6</sup>

No intermediates have been isolated in the photo-

(2) A portion of this work has been reported in preliminary form: J. P. Ferris, F. R. Antonucci, and R. W. Trimmer, *J. Amer. Chem. Soc.*, **95**, 919 (1973).

(3) This research is described in greater detail in the Ph.D. dissertation of F. R. Antonucci, Rensselaer Polytechnic Institute, 1972.

(4) B. Singh and E. F. Ullman, *J. Amer. Chem. Soc.*, **89**, 6911 (1967).

(5) B. Singh, A. Zweig, and J. B. Gallivan, *J. Amer. Chem. Soc.*, **94**, 1199 (1972).

(6) D. W. Kurtz and H. Schechter, *Chem. Commun.*, 689 (1966); H. Wamhoff, *Chem. Ber.*, **105**, 748 (1972); R. H. Good and G. Jones, *J. Chem. Soc. C*, 1196 (1971); H. Hiraoka and J. Picone, *Proc. Int. Congr. Pure Appl. Chem.*, 23rd, 93, 222 (1971).